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| APPLICATION NO                         | . F            | ILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.     | CONFIRMATION NO. |
|--|----------------|------------|----------------------|-------------------------|------------------|
| 09/817,171                             |                | 03/27/2001 | Yukiko Ozaki         | 108789 8147             |                  |
| 25944                                  | 7590           | 08/14/2003 |                      |                         |                  |
| OLIFF &                                | <b>BERRIDO</b> | GE, PLC    | EXAMINER             |                         |                  |
| P.O. BOX 19928<br>ALEXANDRIA, VA 22320 |                |            |                      | JENKINS, DANIEL J       |                  |
|  |                |            |                      | ART UNIT                | PAPER NUMBER     |
|  |                |            |                      | 1742                    |                  |
|  |                |            |                      | DATE MAILED: 08/14/2003 | []               |

Please find below and/or attached an Office communication concerning this application or proceeding.

|   |   | Application No.   | Applicant(s)   |  |  |  |  |  |
|---|---|---|--|--|--|--|--|--|
|   | •   | 09/817,171  | OZAKI ET AL.   |  |  |  |  |  |
|   | Office Action Summary   | Examiner  | Art Unit   |  |  |  |  |  |
| •   |   | Daniel J. Jenkins   | 1742   |  |  |  |  |  |
|   | The MAILING DATE of this communication app  | ears on the cover sheet with the c  | correspondence address   |  |  |  |  |  |
| Period for  | Reply   |   |  |  |  |  |  |  |
| THE N - Extens after S - If the p - If NO   | PRTENED STATUTORY PERIOD FOR REPL' IAILING DATE OF THIS COMMUNICATION. Sions of time may be available under the provisions of 37 CFR 1.1 IX (6) MONTHS from the mailing date of this communication. Period for reply specified above is less than thirty (30) days, a reploeriod for reply is specified above, the maximum statutory period to et or reply within the set or extended period for reply will, by statute ply received by the Office later than three months after the mailing a patent term adjustment. See 37 CFR 1.704(b). | 36(a). In no event, however, may a reply be tiry within the statutory minimum of thirty (30) day will apply and will expire SIX (6) MONTHS from | nely filed  /s will be considered timely.  In the mailing date of this communication.  FD (35 U.S.C. § 133). |  |  |  |  |  |
| 1) 🖾  | Responsive to communication(s) filed on 20  | <u>May 2003</u> .   |  |  |  |  |  |  |
| 2a)□  |   | nis action is non-final.  | •  |  |  |  |  |  |
| 3)  | and the ments is  |   |  |  |  |  |  |  |
| Dispositi   | on of Claims  |   | ·  |  |  |  |  |  |
| 4) 🖾  | Claim(s) 5-34 is/are pending in the application   | n.  |  |  |  |  |  |  |
|   | 4a) Of the above claim(s) is/are withdra  | awn from consideration.   |  |  |  |  |  |  |
| 5) 🗌  | Claim(s) is/are allowed.  |   |  |  |  |  |  |  |
| 6)⊠   | Claim(s) <u>5-34</u> is/are rejected.   |   |  |  |  |  |  |  |
| 7)  | Claim(s) is/are objected to.  |   |  |  |  |  |  |  |
|   | Claim(s) are subject to restriction and/  | or election requirement.  |  |  |  |  |  |  |
| 1 -   | on Papers   |   |  |  |  |  |  |  |
| 9) The specification is objected to by the Examiner.  |   |   |  |  |  |  |  |  |
| 10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner.  |   |   |  |  |  |  |  |  |
|   | Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).   |   |  |  |  |  |  |  |
| 11) The proposed drawing correction filed on is: a) approved b) disapproved by the Examiner.  |   |   |  |  |  |  |  |  |
| If approved, corrected drawings are required in reply to this Office action.  12) The oath or declaration is objected to by the Examiner. |   |   |  |  |  |  |  |  |
| 1   |   |   |  |  |  |  |  |  |
| Priority  | under 35 U.S.C. §§ 119 and 120  Acknowledgment is made of a claim for forei   | an priority under 35 U.S.C. § 119   | )(a)-(d) or (f).   |  |  |  |  |  |
|   | ☐ All b)☐ Some * c)☐ None of:   | 3   |  |  |  |  |  |  |
| a)  | 1. Certified copies of the priority docume  | nts have been received.   |  |  |  |  |  |  |
|   | 2 Certified copies of the priority docume   | nts have been received in Applic  | ation No   |  |  |  |  |  |
|   | 2. Certified copies of the priority documents have been received in Application No  3. Copies of the certified copies of the priority documents have been received in this National Stage   |   |  |  |  |  |  |  |
| *   | application from the International I<br>See the attached detailed Office action for a li  | st of the certified copies not recei  | ived.  |  |  |  |  |  |
| 14)   | Acknowledgment is made of a claim for dome  | stic priority under 35 U.S.C. § 11  | 9(e) (to a provisional application).   |  |  |  |  |  |
| 15)   | a) ☐ The translation of the foreign language provisional application has been received.  15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.   |   |  |  |  |  |  |  |
| Attachme  |   |   |  |  |  |  |  |  |
| 1) Not  | ice of References Cited (PTO-892)<br>ice of Draftsperson's Patent Drawing Review (PTO-948)<br>rrmation Disclosure Statement(s) (PTO-1449) Paper No(s  | 5) Notice of Inform   | nary (PTO-413) Paper No(s)<br>nal Patent Application (PTO-152)   |  |  |  |  |  |
|   | To de work Office   |   | N  |  |  |  |  |  |

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1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

- (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 2. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).
- 3. Claims 5-34 are rejected under 35 U.S.C. 103(a) as being unpatentable over EP 1145788A1 (EP'788).

EP'788 disclose the invention substantially as claimed.

EP'788 disclose a method of forming a compact and a die wall lubricant used during the formation of the compact comprising :

selecting a metal powder;

providing said metal powder to a die;

providing a lubricant to the die wall;

compacting said metal powder to form a green compact; and

sintering said green compact to form a compact.

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EP'788 further disclose wherein the metal powder is selected from a group containing Fe.

EP'788 further disclose wherein the lubricant is provided with a charge.

EP'788 further disclose wherein the lubricant is selected from a group of lubricants that are claimed by Applicant, including combinations of lubricants, the list of lubricants containing members with melting points inherently within the claimed ranges.

EP'788 further disclose wherein the die is heated (page 3, lines 32-33).

- 4. The following is a quotation of the second paragraph of 35 U.S.C. 112:
  The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.
- 5. Claims 8-15 and 25-31 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

These claims contain in the preamble "an electrified lubricant" but it is unclear if the Applicant intends the lubricant to be in a state carrying a charge or if this is an intended use.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Daniel J. Jenkins whose telephone number is 703-306-4157. The examiner can normally be reached on M-TH6:30AM-5:00PM.

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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Roy King can be reached on 703-308-1146. The fax phone number for the organization where this application or proceeding is assigned is (703) 872-9306.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-308-0661.

Daniel J. Jenkins Primary Examiner Art Unit 1742

dj July 24, 2003